ESD Database of Economic Incentives (All Agencies) Data Dictionary and Snapshot

Data Label	Data Type	Data Description (by agency, "All" applies to all agencies)
EIN of the Recipient	Text	All: Federal Tax ID number (Employer Identification number) of project recipient, if applicable.
Lead Agency Name	Text	All: Name of the lead agency.
Lead Agency Code	Number	All: Unique identifier for the lead agency.
Quarter Number of the Submission	Text	All: State fiscal year and quarter for which the project was last submitted/updated to the database.
Project ID Number	Text	All: Unique identifier for each project.
Program through which the funding was awarded	Text	All: Name of program or funding source that is funding the economic assistance from reporting agency.
Recipient Name	Text	All: Name of organization receiving economic assistance from reporting agency.
Name of Project	Text	All: Name of project.
Is the Award to a Public or Private Sector Entity?	Text	All: "Public" entity being any governmental entity, including but not limited to IDAs, cities, towns, villages, or counties. "Private" entity being any entity that does not fall under the definition of "Public" entity, including but not limited to private businesses and non-profit organizations.
Project Description	Text	DOS: A brief description of the project, derived from the program, recipient name, and project name
		ESD: Derived from type of benefit awarded, industry, region and name of recipient.
		HCR: A short description of the project, grant amount, and work involved.
		NYPA: Overview of project as defined in the awardee's application and documented in their fund benefits agreement
		NYSERDA: Project name as defined by the managing NYSERDA team.
Industry	Text	All: Primary industry sector of the project, based upon the two-digit NAICS code for recipient.
If the project is a Member Item, the originating district	Text	All: If the project is a Member Item, the originating district.
Street Address	Text	All: Street or building location of project; projects with multiple addresses either list a primary address or "Various". Some exact locations are approximated.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

County	Text	All: County for location of project.
Postal Code	Text	All: Zip code for location of project.
Economic Development Region	Text	All: Region for location of project (derived from the 10 New York State Economic Development Regions).
Start Date	Date	DOS: Start date of the contract
		ESD: In general, "Start Date" is defined as the date on which a project is deemed to be "Approved" by ESD. For purposes of the Database, a project is "Approved" based on the most relevant milestone for each project, as required milestones and approval procedures vary across projects based on factors such as statutory requirements, programs, and board policies. In general, approval milestones for the most common types of projects are as follows: -Loans and Grants above $250,000: the date of approval by ESD's Board of Directors -Loans and Grants $250,000 and under: the date of approval by ESD's Commissioner -Excelsior Tax Credits: the date of the project's official admittance into the program -START-UP: date of the business' approval by the ESD Commissioner -Life Sciences Tax Credit Program: January 1st of the tax credit benefit year -Employee Training Incentive Program: the date of the project's conditional admittance into the program -Film Production Tax Credit: the date the initial application is approved -Post-Production Tax Credit: the date the initial application is approved -Musical & Theatrical Tax Credit: the date the initial application is approved -Commercial Tax Credit Program: January 1st of the tax credit benefit year -Restaurant Return-To-Work Tax Credit Program: the start of the employment eligibility period
		HCR: The date the award was made.
		NYPA: Month, day, year that the project becomes official
		NYSERDA: Date in which the project commences or is contracted.
End Date	Date	DOS: Date that all financial assistance has been disbursed for the project
		ESD: Date that all financial assistance has been disbursed for the project.
		HCR: Not applicable or not currently collected.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

		NYPA: Date that all financial assistance has been disbursed for the project
		NYSERDA: Date at which projects are completed.
Assistance Type	Text	DOS: Type of assistance the project is receiving, defined as either loan, grant, or tax credit
		ESD: Type of assistance the project is receiving, defined as either loan, grant, or tax credit.
		HCR: Not applicable or not currently collected.
		NYPA: Type of assistance the project is receiving, defined as either loan, grant, or tax credit
		NYSERDA: NYSERDA's funding type classification.
Assistance Amount	Number	All: The total dollar value for the specific agency and project award. This does not include additional dollars awarded to the project from other state sources.
Total Lead Agency Benefits Awarded	Number	DOS: Total dollar amount of DOS assistance the project is receiving through the Downtown Revitalization Initiative
		ESD: Total amount of ESD assistance the project is receiving in dollars.
		HCR: Amount of funding provided through the CDBG grant by HCR.
		NYPA: Total amount in dollars of the grant funding awarded by NYPA for the project described
		NYSERDA: Cumulative NYSERDA funding contracted through the most recent reporting period. Green Bank loans are omitted.
Disbursements to Date	Number	All: The total dollars disbursed to the project, subject to a reporting lag.
Does this project include additional NYS benefits?	Text	All: Indicates whether the project is receiving benefits outside of the reporting agency, program and award amount. This field can include other investments from the reporting agency (Yes/No).
If "YES" what is the additional awarding agency name?	Text	If "Yes" to "Does the project include additional NYS benefits?", the name of the additional agency providing funding, if applicable.
Other State Agency Funding Program	Text	All: The program through which the other agency is providing funding, if applicable.
Other State Agency Funding Awarded	Number	All: Dollar amount of funding from other agencies, if applicable.
Is the Project also receiving benefits from a local Industrial Development Agency (IDA)?	Text	All: Is the Project also receiving benefits from a local Industrial Development Agency (IDA) (Yes / No)

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

If applicable, from which IDA is the project also receiving benefits?	Text	All: Name of the Industrial Development Agency, if applicable
If applicable, what is the net value of the tax benefits awarded by the IDA?	Number	DOS: Calculated as net exemptions provided minus any PILOT payments made over the life of the IDA exemption, if applicable
		ESD: Calculated as the market value of all payments that would have been due minus any payments made.
		HCR: Not applicable or not currently collected.
		NYPA: n/a if not applicable
		NYSERDA: Not applicable or not currently collected.
Total NYS Investment	Number	DOS: Total dollar amount of DOS and other known NYS agency investments
		ESD: Total dollar amount of the awarding agency and other known NYS agency investments.
		HCR: The sum of HCR and other agency partner investment amounts.
		NYPA: Total amount in dollars, to include other NYS awards if known
		NYSERDA: Cumulative NYSERDA funding contracted through the most recent reporting period. Green Bank loans are omitted.
Total Public-Private Investment	Number	DOS: The entire cost of the project including any non-DOS funding. This field can include estimates for private investment for Downtown Revitalization Initiative projects.
		ESD: The total project cost of the project including any non-ESD funding.
		HCR: The sum of all project investment amounts.
		NYPA: NYS investment + private funding to meet total project costs
		NYSERDA: NYSERDA contracted funding plus cumulative reported leveraged funding through the most recent reporting period.
Project Status	Text	DOS: The current status of the project, either Active, Closed or Terminated
		ESD: The current status of the project, either Active, Closed or Terminated. Please note that all tax incentive programs are marked as "Closed" when the tax credit is issued, and the project is deemed completed by ESD. For the Excelsior Jobs Tax Credit Program, this will occur after the ten-year benefit schedule has concluded, and the project is completed.
		HCR: The project status.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

		NYPA: The current status of the project, either Active, Closed or Terminated
		NYSERDA: The current status of the project, either Active or Closed (completed), or terminated.
Compliant with Terms and Conditions	Text	DOS: Indicates whether the project is currently considered by DOS to be in compliance, per the specific project requirements. Compliance is presented as Yes/No.
		ESD: Indicates whether the project is currently considered by ESD to be in compliance, per the specific project requirements. Compliance is presented as Yes/No.
		HCR: Not applicable or not currently collected.
		NYPA: Yes or No indication of whether the project is currently considered by NYPA to be in compliance with agreement terms.
		NYSERDA: Whether NYSERDA considers a project in compliance with project requirements.
Reason for Non-Compliant Status	Text	DOS: If project is non-compliant, the reason for such non-compliance is provided. If a project is in compliance, this field will display "N/A".
		ESD: If project is non-compliant, the reason for such non-compliance is provided. If a project is in compliance, this field will display "N/A".
		HCR: Not applicable or not currently collected.
		NYPA: If project is non-compliant, the reason for such non-compliance is provided. If a project is in compliance, this field will display "N/A".
		NYSERDA: If project is non-compliant: the reason for non-compliance. If a project is compliant: N/A
Penalties Applied?	Text	DOS: Indicates whether the project has had penalties applied. Penalties Applied is presented as Yes/No. If a project is in compliance, this field will display "N/A".
		ESD: Indicates whether the project has had penalties assessed. Penalties Assessed is presented as Yes/No.
		HCR: Not applicable or not currently collected.
		NYPA: Indicates whether the project has had penalties assessed. Penalties Assessed is presented as Yes/No.
		NYSERDA: Whether NYSERDA has assessed penalties for a project.
Rationale for Termination	Text	All: If a project is terminated, the reason for such termination is provided.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

Total Employees at the site (FTEs)	Number	DOS: Total Employees (Full-time equivalent) at the assisted entity at the time of award. If grantee is a government entity, this field will display "N/A".
		ESD: Total Employees (full-time equivalent) at the assisted entity at the time of award. Full-time equivalent is defined as one full-time employee or two part-time, permanent, private-sector employees on the recipient's payroll, who have worked at the project location for a combined minimum of thirty-five hours per week for not less than 4 consecutive weeks (specific time dictated by ESD program funding) and who are entitled to receive the usual and customary fringe benefits extended by the recipient to other employees with comparable rank and duties. Note: "full-time equivalent" may be defined slightly differently across projects and programs.
		HCR: Not applicable or not currently collected.
		NYPA: Total number of employees at the site represented as Full Time Equivalents (FTEs)
		NYSERDA: Not applicable or not currently collected.
Total Employees at the site (PTs)	Number	DOS: Total Employees (Part-time) at the assisted business, at the time of award. If grantee is a government entity, this field will display "N/A".
		ESD: Total employees (part-time) at the assisted entity at the time of award. Part-time is defined as an employee who works less than 35 hours per week for not less than four consecutive weeks.
		HCR: Not applicable or not currently collected.
		NYPA: Not applicable or not currently collected.
		NYSERDA: Not applicable or not currently collected.
Total Employees at the site (project Hires in FTEs)	Number	DOS: Total FTE Project Hires. If grantee is a government entity, this field will display "N/A".
		ESD: Total Employees (full time project hires) at the assisted entity at the time of award. Total number of individual hires (head count), not full-time equivalents. "Project hires" are associated with specific programs, namely the Film Production Tax Credit, Post-Production Tax Credit, and the Musical & Theatrical Tax Credit which result in hires for the length of the project.
		HCR: Not applicable or not currently collected.
		NYPA: Not applicable or not currently collected.
		NYSERDA: Not applicable or not currently collected.
Total Employees at the site (project Hires in PT workers only)	Number	DOS: Total PT Project Hires. If grantee is a government entity, this field will display "N/A".

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

		ESD: Total Employees (part time project hires) at the assisted entity at the time of award. Total number of individual hires (head count). "Project hires" are associated with specific programs, namely the Film Production Tax Credit, Post-Production Tax Credit, and the Musical & Theatrical Tax Credit which result in hires for the length of the project.
		HCR: Not applicable or not currently collected.
		NYPA: Not applicable or not currently collected.
		NYSERDA: Not applicable or not currently collected.
Is this a Job Creation / Retention Project?	Text	All: Whether or not the project has a job retention and/or job creation commitment.
Job Creation Commitments (FTEs)	Number	DOS: Total number of new jobs (Full-time equivalent) that a project is committed to creating, when applicable. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of new jobs (FTEs) that a project is ultimately committed to creating, when applicable.
		HCR: Job creation commitments associated with the award (full-time equivalent employees).
		NYPA: Total number of new jobs that a project is required to create.
		NYSERDA: Not applicable or not currently collected.
Job Creation Commitments (PTs)	Number	DOS: Total number of new jobs (Part-time) that a project is ultimately committed to creating, when applicable. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of new jobs (part-time) that a project is ultimately committed to creating, when applicable. Part time is defined as an employee who works less than 35 hours per week for not less than four consecutive weeks.
		HCR: Job creation commitments associated with the award (part time employees).
		NYPA: Total number of new part time jobs a project is required to create.
		NYSERDA: Not applicable or not currently collected.
Job Retention Commitments (FTEs)	Number	DOS: Total number of new jobs (Full-time equivalent) that a project is committed to retaining, when applicable. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of existing jobs (FTEs) that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

		HCR: Job retention commitments associated with the award (full-time equivalent employees).
		NYPA: Total number of jobs a project is committed to retaining.
		NYSERDA: Not applicable or not currently collected.
Job Retention Commitments (PTs)	Number	DOS: Total number of new jobs (Part-time) that a project is committed to retaining, when applicable. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of jobs (part-time) that a project is committed to retaining, when applicable. Part time is defined as an employee who works less than 35 hours per week for not less than four consecutive weeks.
		HCR: Job retention commitments associated with the award (part time employees).
		NYPA: Total number of part time jobs a project is required to retain.
		NYSERDA: Not applicable or not currently collected.
Jobs Created To-Date (FTEs)	Number	DOS: Total number of jobs (Full-time equivalent) that a project is currently documented as having created, when applicable. Note that many projects, such as community investments into public spaces, may not report jobs created even though they may contribute to economic development. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of jobs (FTEs) that a project is currently documented as having created, when applicable. Note that many projects, such as community investments into public spaces, may not report jobs created even though they may contribute to economic development, and that many projects have several years to reach their total Job Creation Commitments before they are considered not to be in compliance. Jobs may in some cases count multiple jobs added together to create one "full-time equivalent" job and may be defined slightly differently across projects and programs.
		HCR: Jobs created to date (full-time equivalent employees).
		NYPA: Total number of jobs (FTEs) at time of most recent compliance report.
		NYSERDA: Not applicable or not currently collected.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

Jobs Created To-Date (PTs)	Number	DOS: Total number of jobs (Part-time) that a project is currently documented as having created, when applicable. Note that many projects, such as community investments into public spaces, may not report jobs created even though they may contribute to economic development. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of jobs (part-time) that a project is currently documented as having created, when applicable. Part time is defined as an employee who works less than 35 hours per week for not less than four consecutive weeks. Note that many projects, such as community investments into public spaces, may not report jobs created even though they may contribute to economic development, and that many projects have several years to reach their total Job Creation Commitments before they are considered not to be in compliance. Jobs may in some cases count multiple jobs added together to create one "full-time equivalent" job and may be defined slightly differently across projects and programs.
		HCR: Jobs created to date (part time employees).
		NYPA: Total number of part time jobs at time of most recent compliance report.
		NYSERDA: Not applicable or not currently collected.
Jobs Retained To-Date (FTEs)	Number	DOS: Total number of existing jobs (Full-time equivalent) that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs. Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of existing jobs that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs.
		HCR: Jobs retained to date (full-time equivalent employees).
		NYPA: Total number of jobs (FTEs) retained at time of most recent compliance report.
		NYSERDA: Not applicable or not currently collected.
Jobs Retained To-Date (PTs)	Number	DOS: Total number of existing jobs (Part-time) that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs. Projects that do not have direct job commitments will display "N/A" for this field.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

		ESD: Total number of existing jobs (Part-time) that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs. Part time is defined as an employee who works less than 35 hours per week for not less than four consecutive weeks.
		HCR: Total number of existing jobs (Part-time) that a project is currently documented as having retained, when applicable. "Jobs Retained To-Date" can be defined slightly differently by different programs. Projects that do not have direct job commitments will display "N/A" for this field.
		NYPA: Total number of part time jobs retained at time of most recent compliance report.
		NYSERDA: Not applicable or not currently collected.
Project Hires (FTEs)	Number	DOS: Total number of individual project hires (Full-time equivalent). Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of individual hires (head count), not full-time equivalents. "Project hires" are associated with specific programs, namely the Film Production Tax Credit, Post-Production Tax Credit, Commercial Tax Credit Program and the Musical & Theatrical Tax Credit which result in hires for the length of the project.
		HCR: Total number of individual project hires (Full-time equivalent). Projects that do not have direct job commitments will display "N/A" for this field.
		NYPA: Total number of temp or seasonal employees hired as of the most recent compliance report.
		NYSERDA: Not applicable or not currently collected.
Project Hires (PTs)	Number	DOS: Total number of individual project hires (Part-time). Projects that do not have direct job commitments will display "N/A" for this field.
		ESD: Total number of individual hires (head count), working less than 35 hours per week. "Project hires" are associated with specific programs, namely the Film Production Tax Credit, Post-Production Tax Credit, and the Musical & Theatrical Tax Credit which result in hires for the length of the project.
		HCR: Total number of individual project hires (Part-time). Projects that do not have direct job commitments will display "N/A" for this field.
		NYPA: Total number of part time temp or seasonal employees hired as of most recent compliance report.
		NYSERDA: Not applicable or not currently collected.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

NYS MWBE Utilization Goal Applied	Percentage	DOS: The percent utilization goal applied
		ESD: The percent utilization goal applied to either the grant amount or the portion of the grant that has potential opportunities for certified mwb spending.
		HCR: The percent utilization goal applied
		NYPA: NYPA's assigned goal is 30%: separated into 18% Minority, 12% Woman Business Enterprises
		NYSERDA: Not applicable or not currently collected.
Current MWBE Utilization Rate	Percentage	DOS: The percent of the goal amount that has been utilized
		ESD: The percent of the goal amount that has been satisfied to date.
		HCR: The percent of the goal amount that has been utilized
		NYPA: Percentage achieved at time of final disbursement
		NYSERDA: Not applicable or not currently collected.
Is this a legacy project	Text	All: Legacy projects are defined as projects initiated prior to October 1, 2022, and therefore were not subject to the additional data fields which were added pursuant to the DOEI enhancements as part of the SFY 2022-2023 NYS Budget. Such projects may have additional blank fields, as the mandate for recipients to report on those fields was not yet in place.
Contact	Text	All: Agency email address for database related inquiries.

Note: Agencies may only define fields for which they have included data. As agencies submit additional data on additional fields, data dictionaries will be updated accordingly.

Database of Economic Incentives Snapshot
Projects Administered by Empire State Development

As of December 31st, 2022

In analyzing data, users are cautioned that certain complexities within the data may result in erroneous conclusions or calculations. As one example, simply summing all projects without accounting for the fact that a project is listed more than once to reflect its receipt of multiple forms of support would result in the over-counting of certain project-related metrics. For this reason, when summarizing data, users are advised to utilize the official summary statistics contained within the Database Snapshot below. For additional context on the projects in the Database, please review the Database of Economic Incentives Introduction and User Orientation Webinar.

- **Investment**
  - ESD Investment Awarded: $6 billion (18% of Total Project Cost)
  - Private and Other Investment Committed: $27.1 billion (82% of Total Project Cost)
  - Total Public/Private Investment: $33.1 billion
  - ESD Leveraged Investment Ratio: 5.5
  - ESD Disbursements To-Date for included projects: $3.9 billion
  - ESD Disbursements as % of ESD Investment Awarded: 64%
  - ESD Funds Left to be Disbursed as % of ESD Investment Awarded: 36%

- **Jobs**
  - Committed Retained and Created Jobs: 224,224
  - Actual Retained and Created Jobs To-Date: 174,535
  - Percent of Committed Jobs Retained and Created: 78%

  - Committed Created Jobs: 70,208
  - New Jobs Created to-Date: 20,551
  - New Jobs Created as % of New Job Creation Commitments: 29%

  - Job Retention Commitments: 154,016
  - Jobs Retained To-Date: 154,016
  - Jobs Retained as % of Job Retention Commitments: 100%

Projects in the Database

- o Projects in the Database are those that were approved by ESD on January 1, 2018, or later, and still "Active" or "Closed"

- o The Database of Economic Incentives currently includes 44,001 projects approved by ESD since January 1st, 2018, and will add more projects over time.

- o The Database complements ESD's numerous existing publications and reports, which can be found [here.](#)

- o The Database includes details on ESDs Loans, Grants, and Tax Credits including Excelsior, START-UP NY, New York State Life Science Credit, Employee Training Incentive Program awards, Film Production Tax Credit Program, Film Post-Production Tax Credit Program, Musical and Theatrical Tax Credit Program, the Restaurant Return-To-Work Program, and the NYS Covid-19 Pandemic Small Business Recovery Grant Program.

- o There are 44,046 Project Rows in the Database:
  - In certain instances, a project can receive more than one type of ESD assistance. For example, a project may have a grant element and an Excelsior Jobs Program tax credit element. In such circumstances, each funding-type will appear on its own row, causing a project receiving two forms of ESD assistance to appear on two different rows in the Database.
- o 44,001 Unique Projects in the Database
  - After limiting to only unique projects, total projects is 44,001
  - Projects With Direct Job Commitments: 1,414
  - Projects for Entertainment Tax Credits: 463
  - Infrastructure and Capacity-Building Projects Without Direct Job Commitments: 42,124.

- o *Job Commitment Projects* – Projects with minimum job creation/retention requirements that must be met to receive ESD support.
  - Such projects have economic development benefits beyond job creation, but are tied to minimum job-creation/retention requirements.
  - 1,414 of projects in the Database

- $2.3 billion of ESD Financial Assistance for Job Commitment projects.
- Examples include: Certain Excelsior Jobs Program Tax Credit Projects, START-UP NY, many REDC Projects, many New York Works Projects.

o *Infrastructure and Capacity-Building Projects* – Projects which produce direct, long-term, or other economic benefits.
   - Such projects indirectly support job creation/retention; however, the recipient has no direct job commitments
   - 42,124 projects in the Database.
   - $2.7 billion of ESD Financial Assistance for Infrastructure and Capacity-Building projects.
   - Examples include: Broadband Program Office Projects, Community or Infrastructure Development Projects, Lake Ontario Business Flood Recovery Projects, Market NY Projects, NYS Covid-19 Pandemic Small Business Recovery Grant Program.

- **Continuum of Job Creation**
   o *ESD Loan and Grant Projects:* From 2011 to 2020, ESD's loan and grant projects have a job creation/retention success rate of 116%. This means that through ESD's loan and grant investments, the number of jobs-committed to in a given year, measured against the jobs actually created/retained in that same year, shows a consistent surplus of created jobs through these projects.

     This information is included in ESD's Annual Jobs Report. The most recent Annual Jobs Report (2021) is available here.

   o *Excelsior Jobs Program:* ESDs Excelsior Jobs Program, which provides job creation and investment incentives to firms in targeted industries, has an average job creation success rate of 119% from 2011 to present. This means that, through ESD's Excelsior Jobs Program tax credit investments, the number of jobs-committed to in a given year, measured against the jobs actually created in that same year, shows a consistent surplus of created jobs through these projects. It is important to note that ESD is able to perform this calculation only for those projects that seek to claim tax credits. A portion of Excelsior awardees do not seek to claim credits, and therefore, ESD does not have insight into their job-creation activities.

The Excelsior Jobs Program encourages businesses to expand in and locate to New York while maintaining strict pay-for-performance standards to guarantee that businesses deliver on job and investment commitments before receiving tax credits.

For additional questions, please send questions to Database@esd.ny.gov

Database of Economic Incentives Snapshot

Projects Administered by the New York State Department of State

As of December 31, 2022

This data includes information on Downtown Revitalization Initiative projects administered by DOS.

Investment

- DOS Investment Awarded: $160 million
- Private and Other Investment Committed (estimated): $265 million
- DOS Disbursements To-Date for included projects: $16.5 million
- DOS Disbursements as % of DOS Investment Awarded: 10.3%
- DOS Funds Left to be Disbursed as % of DOS Investment Awarded: 89.7%

Projects in the Database

- Projects in the Database are those that started on January 1, 2018, or later, and still "Active" or "Closed"
- The Database of Economic Incentives currently includes 74 projects administered by DOS that were started since January 1, 2018, and will add more projects over time.

Database of Economic Incentives Snapshot

Projects Administered by New York State Homes and Community Renewal

As of December 31st, 2022

In analyzing data, users are cautioned that certain complexities within the data may result in erroneous conclusions or calculations. As one example, simply summing all projects without accounting for the fact that a project is listed more than once to reflect its receipt of multiple forms of support would result in the over-counting of certain project-related metrics. For this reason, when summarizing data, users are advised to utilize the official summary statistics contained within the Database Snapshot below. For additional context on the projects in the Database, please review the Database of Economic Incentives Introduction and User Orientation Webinar.

Projects in the Database

- Projects in this database: 72
- The database includes information on HCR's Community Development Block Grant (CDBG) awards made to small cities that are not federally-recognized Entitlement Jurisdictions for the purposes of economic development
- These represent commitments to create 1,452 jobs

Database of Economic Incentives Snapshot
Projects Administered by the New York Power Authority

As of December 31st, 2022

In analyzing data, users are cautioned that certain complexities within the data may result in erroneous conclusions or calculations. As one example, simply summing all projects without accounting for the fact that a project is listed more than once to reflect its receipt of multiple forms of support would result in the over-counting of certain project-related metrics. In addition, projects included will reflect various timelines and employment milestones, which impact disbursements and calculation for job creation. As such, when summarizing data, users are advised to utilize the official summary statistics contained within the Database Snapshot below.

- **Investment**
  - Investment Awarded: $2,717,612 (7.4% of Total Project Cost)
  - Private and Other Investment Committed: $33,942,727
  - Total Public/Private Investment: $36,660,339
  - Disbursements To-Date for included projects: $1,216,612
  - Disbursements as % of Investment Awarded: 45%
  - Funds Left to be Disbursed as % of Investment Awarded: 55%

- **Jobs**
  - Committed Retained and Created Jobs: 327.5
  - Retained and Created Jobs To-Date: 332.75
  - Percent of Committed Jobs Retained and Created: 101%
  - Committed Created Jobs: 50
  - New Jobs Created to-Date: 52.75
  - New Jobs Created as % of New Job Creation Commitments: 105%
  - Job Retention Commitments: 277.5
  - Jobs Retained To-Date: 280
  - Jobs Retained as % of Job Retention Commitments: 101%

Projects in the Database

- Projects in the Database are those that were approved by NYPA on January 1, 2018, or later, and still "Active" or "Closed"

- The Database of Economic Incentives currently includes 9 projects approved by NYPA since January 1, 2018, and will add more projects over time.

- The Database includes details on Grants made through either the Western New York Economic Development Fund (also known as WNY Power Proceeds) or the Northern New York Economic Development Fund (also known as NNY Power Proceeds) for Business Investment projects with corresponding commitments to retain and/or create jobs.

- Eligibility for Business Investment project funding from Power Proceeds requires job creation/retention, those commitments are specified in an executed Fund Benefits Agreement between NYPA and the grantee which must be met to trigger disbursements, maintained for the specified term of the FBA to avoid clawback of already disbursed funds, and confirmed during annual compliance reporting.

Database of Economic Incentives Snapshot

Projects Administered by the New York State Energy Research and Development Authority

As of December 31st, 2022

NYSERDA commenced ESD reporting with the August 15, 2022 submission. Many of the data fields/metrics incorporated under this ESD dataset have not historically been captured by NYSERDA in the awarding of projects, and therefore some data fields will be absent for projects until NYSERDA has opportunity to make them a reporting requirement to receive funding under awards/contracts going forward. NYSERDA is committed to fulfilling these requirements during the next round of awards and will begin reflecting these newly acquired data fields in 2023.

- **Investment**
  - Total NYSERDA Benefits Awarded: $183,791,567
  - Total Public/Private Investment: $906,363,485
  - Total NYSERDA Disbursements (expenditures) to Date: $12,134,701

- **Projects in the Database**
  - Projects in the Database are those that were approved by NYSERDA on January 1, 2018, or later and considered "Active" or "Closed"
  - NYSERDA's portion of the Database of Economic Incentives currently includes 61 projects spanning three (3) programs:
    - *Carbon Neutral Community Economic Development*
    - *Commercial & Industrial Carbon Challenge*
    - *Offshore Wind*

For additional questions, please contact openny@nyserda.ny.gov